### Seminar on Computer-assisted mathematics

Judith Ludwig and Florent Schaffhauser

Heidelberg University - Summer semester 2025

Session 2 - April 24, 2025

## Fundamental concepts from Lecture 1

Curried functions.

```
Nat.add 1 2 = 1 + 2
```

• Inductive types (e.g. Nat or Bool).

```
inductive Prod (X : Type) (Y : Type) : Type
| mk (x : X) (y : Y) : Prod X Y
```

 Pattern-matching on constructors to construct functions that go out of an inductive type.

## Propositions as types and proofs as programs

- Propositions are a special kind of type, in which proof irrelevance holds (if P : Prop and p q : P, then p = q).
- Propositions can be defined inductively, in which case one can pattern match on constructors.

```
\begin{array}{lll} \textbf{inductive False} & : & \texttt{Prop} \\ \\ \textbf{def False.elim} & (\texttt{P} : \texttt{Prop}) & : & \texttt{False} & \rightarrow \texttt{P} & := \\ \textbf{fun} & (\texttt{t} : \texttt{False}) & \mapsto & \texttt{nomatch} & \texttt{t} \\ \end{array}
```

A well-formed proposition is not necessarily inhabited.

```
def Fallacy : Prop := 2 + 2 = 5
#check Fallacy -- Fallacy : Prop

def proof : Fallacy := sorry -- declaration uses 'sorry'
#check proof -- proof : Fallacy
```

#### Sum types

 The sum of two types X and Y is constructed as an inductive type with two constructors.

```
inductive Sum (X : Type) (Y : Type) : Type
| inl (x : X) : Sum X Y -- "injection from the left"
| inr (y : Y) : Sum X Y -- "injection from the right"
```

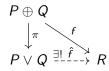
- Sum X Y can also be denoted by X  $\oplus$  Y. In set theory, the analogous notion is that of *disjoint union* of two sets.
- To define functions out of a sum, we can pattern-match on the constructors.

```
def charac_left {X : Type} {Y : Type} : X \oplus Y \to Bool :=
fun (t : X \oplus Y) \mapsto match t with
| Sum.inl (x : X) => Bool.true
| Sum.inr (y : Y) => Bool.false
```

# Disjunctions

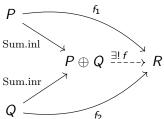
Let P and Q be propositions.

- Then the types  $P \to Q$  and  $P \times Q$  are propositions. But the type  $P \oplus Q$  is *not* necessarily a proposition (for instance, the type True  $\oplus$  True does not satisfy proof irrelevance).
- We can construct a proposition P  $\vee$  Q by identifying any two terms in P  $\oplus$  Q. This can be viewed as taking a quotient of P  $\oplus$  Q by the trivial equivalence relation (just one equivalence class).
- In particular, the canonical projection  $\pi$  from  $P \oplus Q$  to  $P \vee Q$  satisfies the *universal property* of a quotient: for all  $f:P \oplus Q \to R$  such that, for all t,t' in  $P \oplus Q$ , f(t)=f(t'), there is a unique map  $\hat{f}:P \vee Q \to R$  such that  $\hat{f}\circ \pi=f$ .



# Fallunterscheidung

- Note that the compatibility condition for  $f:P\oplus Q\to R$  is necessarily satisfied if R is a proposition. So, to prove an implication of the form  $P\lor Q\to R$  (where R is a proposition), it suffices to construct a function  $f:P\oplus Q\to R$ .
- This is done via pattern-matching, which in this case can also be viewed as a universal property.



• So the typing system is telling us to prove an implication of the form  $P \lor Q \to R$  by case analysis: first assume P and deduce R, then assume Q and deduce R.

# Falsity and negation

- It was a seminal insight of N. de Bruijn's (the creator of Automath¹) that, when viewed as a type, a proposition is to be deemed proved if, and only if, the corresponding type is inhabited.
- From that point of view, the *negation*  $\neg P$  of a proposition P should be defined without any reference to whether P has a proof or not. And indeed we have:

$$\neg P := (P \rightarrow \mathsf{False})$$

- So, by definition, proving  $\neg P$  means proving that, given a proof of P, we can construct a proof of False, which is considered an absurdity.
- With this definition, we can prove a number of tautologies (propositions depending on other propositions and which are inhabited regardless of whether the ones they depend on are inhabited). For instance  $\neg P \land P \rightarrow \text{False}$  (special case of *modus ponens*) or  $P \rightarrow \neg \neg P$  (exercise!).

<sup>&</sup>lt;sup>1</sup>The first programming language equipped with a type-checking algorithm, implemented in 1967. It was followed by Mizar, due to A. Trybulec, in 1973.

# Constructive vs. classical logic

• Let us now compare  $(P \to Q)$  and  $(\neg P \lor Q)$ . The following program provides a proof of the implication  $(\neg P \lor Q) \to (P \to Q)$ . The keyword theorem is used as a synonym of def, when the target type is a proposition.

```
theorem classical_imp {P Q : Prop} : (\neg P \lor Q) \to (P \to Q) := fun (t : \neg P \lor Q) \mapsto match t with | Or.inl (f : P \to False) => fun (p : P) \mapsto False.elim (f p) | Or.inr (q : Q) => fun (p : P) \mapsto q
```

- The reverse implication actually does not hold constructively. To prove
  it for all P, Q, you would need to use ¬P ∨ P, which you get from the
  Law of Excluded Middle. Note that the constructive approach is more
  general (less axioms).
- In Lean, you can choose to work constructively or classically. In Mathlib, most proofs use classical logic in one form or another. As an exercise, you can show that the implication  $(P \to Q) \to \neg(P \land \neg Q)$  holds constructively but that its converse uses  $\neg Q \lor Q$ .

### Logical equivalences

• The type of logical equivalences P  $\leftrightarrow$  Q is also defined inductively. Its terms are pairs  $\langle f, g \rangle$  where  $f: P \to Q$  and  $g: Q \to P$ .

```
inductive Iff (P Q : Prop) : Prop | intro : (P \rightarrow Q) \rightarrow (Q \rightarrow P) \rightarrow Iff P Q
```

Note that the target type of a constructor is always the inductive type that is being defined by that constructor.

In Lean and other modern proof assistants, most (but not all)
inductive types with only one constructor are passed as *structures*,
which are not technically part of Martin-Löf's type theory but are
useful for the implementation (they are *record types*, declared using
the keyword structure).

```
structure Iff (P Q : Prop) : Prop where intro :: (mp : P \rightarrow Q) (mpr : Q \rightarrow P)
```

# De Morgan's laws

 A good way to manipulate these concepts is to prove De Morgan's laws, starting with the first one:

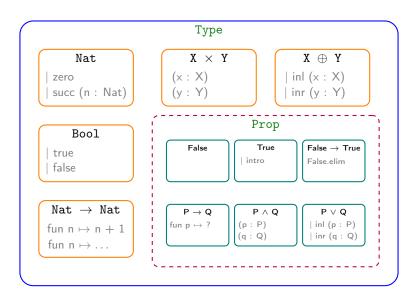
$$\neg (P \lor Q) \iff \neg P \land \neg Q$$

 In the second De Morgan rule, only one implication can be proved constructively, namely ←.

$$\neg (P \land Q) \iff \neg P \lor \neg Q$$

• We will do that in a forthcoming practice file on Logic in Lean.

# A universe of types!



#### Lean's tactic mode

- Lean's tactic mode can assist us in writing a program. To enter tactic
  mode, one simply puts the keyword by after the := sign.
- This will be reflected in the infoview, which should display the goal of the program. This goal is what appears after the turnstile symbol ⊢.
   To see the goal in term mode, you can use the underscore symbol \_.
- To close a goal in tactic mode, we need to use so-called tactics, like the exact tactic in the example below. Each new line must start with a tactic.

```
def a : Nat :=
by {
    exact 42
    -- No goals
}
```

Note that the goal of a program is *always a type* (which may or may not be a proposition).

## Basic tactics for deductive reasoning

The basic tactics we shall need are the following:

- exact and apply
- intro and revert
- constructor
- cases and rcases
- left and right
- rfl
- exact? and apply?
- refine

All of these are presented in our practice file on Basic Tactics.

# Tactic proof of the modus ponens rule

Let us use tactic mode to prove the *modus ponens* rule. The point is to see the proof state and the goal evolve after each use of a tactic, until the goal is closed.

For comparison, the term mode proof would be of a similar length and structure, but in term mode the infoview does not show anything when the goal is closed, except the absence of an error message.

```
theorem mp {P Q : Prop} : (P \rightarrow Q) \wedge P \rightarrow Q := fun t \mapsto match t with | And.intro f p => f p
```

## Recap and practical activity

- As we have seen in examples, a proof is a program. To prove a
  proposition, we have to construct a term of the relevant type. We can
  write a proof either in term mode or in tactic mode.
- In tactic mode, we get assistance from the kernel to help us write our proof: the infoview shows a goal (which is a type) and a context (which is a list of terms, of various types).
- Goal and context put together constitute the proof state. As we
  introduce tactics, our context and goal will change, until the goal is
  closed via unification, which occurs when a term is constructed, whose
  type coincides with the goal.

To manipulate the concepts seen in this lecture, you can try your hand at our Basic Tactics file!

#### Homework

Our next session is on May 8th. Your homework for the next two weeks:

- Work through the Basic Tactics file.
- Install Lean on your computer.

For installing Lean, please follow the official installation guidelines.

In particular, you should first install the code editor VS Code.

If this approach to installing Lean does not work, please consult the Lean community website and follow instructions fitting your operating system.

If you get stuck, please ask for help via Zulip.